

UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No.

1736/00009

First Named Inventor or Application Identifier

Lawrence M. Ausubel

Title

System and Method for an Efficient Dynamic
Multi-Unit Auction

Express Mail Label No.

APPLICATION ELEMENTS

ADDRESS TO:

Commissioner for Patents
Box Applications
Washington, D.C. 20231

1. ☐ Filing fee as calculated below.
2. ☒ Applicant claims small entity status
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **38**]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Pages **12**]
5. Oath or Declaration [Total Pages **1**]
a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 16 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2)
and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ Microfiche Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence
Submission (if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment papers (cover sheet & document(s)) **SEE NEXT
PAGE FOR ASSIGNEE INFORMATION**
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other:

17. ☐ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No. 09/573,007

Prior application information: Examiner

Group/Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code
label here)

or ☒ correspondence address below

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Fee Calculation and Transmittal

Fee Calculation and Transmittal					SMALL ENTITY		NON-SMALL ENTITY		
	(Col 1) NO. FILED		(Col 2)	(Col 3) NO. EXTRA	RATE	FEE	OR	RATE	FEE
TOTAL	44	minus	20	= 24	x9=	\$ 216		x18=	\$
INDEP	6	minus	3	= 3	x40=	\$120		x80=	\$
_ First Presentation, Multiple Dependent Claims					+135=	\$		+270=	\$
Base Filing Fee						\$355			\$710
Other Fee (specify purpose)						\$			\$
TOTAL FILING FEE* (accounting for possible small entity status)						\$691	OR	TOTAL	\$

- ☐ A check in the amount of \$ __ to cover the filing fee is enclosed
- ☒ No payment is enclosed at this time. Full payment will be made when the executed Declaration is submitted.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. **22-0185** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \$ __ as filing fee
- ☐ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 CFR § 1.16
- ☒ Charge any additional filing fees required under 37 CFR § 1.17
- ☒ If filing fee is not enclosed herewith, the filing fee(s) required to Deposit Account No. **22-0185**.

Name (Print/Type)	<i>FOR</i> Stanley B. Green	Registration No. (Attorney/Agent)	24,351
Signature	<i>[Signature]</i> 44,100		Date July 5, 2001